

# The estimate of fractional moments for Dirichlet $L$ -functions

Saulius ZAMARYS (VU)

Let  $s = \sigma + it$  be a complex variable. Our aim is to find the estimate for fractional moments

$$I_k(\sigma, \chi) = I_k(\sigma, T, \chi) = \int_0^T |L(\sigma + it, \chi)|^{2k} dt$$

of Dirichlet  $L$ -functions. Here  $\chi$  is a primitive character mod  $q$ , and  $k = \frac{1}{n}$ ,  $n = 1, 2, 3, \dots$ . We prove the following theorem.

**THEOREM 1.** *Let  $T \rightarrow \infty$ . Then the estimate*

$$I_k\left(\frac{1}{2}, T, \chi\right) \ll T(\log T)^{k^2}$$

*holds.*

From the Euler product it follows that, for  $\sigma > 1$ ,

$$L^k(s, \chi) = \exp(k \log(L(s, \chi))) = \prod_p \left(1 - \frac{\chi(p)}{p^s}\right)^{-k}.$$

Hence we find that

$$L^k(s, \chi) = \prod_p \sum_{j=0}^{\infty} \frac{d_k(p^j) \chi^j(p)}{p^{js}} = \sum_{n=1}^{\infty} \frac{d_k(n) \chi(n)}{n^s},$$

where

$$d_k(p^r) = \frac{\Gamma(k+r)}{\Gamma(k)r!} = \frac{k(k+1)\dots(k+r-1)}{r!}.$$

**LEMMA 1.** *For a fixed real number  $k \geq 0$  there exists a constant  $c_k > 0$  such that uniformly in  $\sigma$ ,  $\frac{1}{2} + \frac{c_k}{\log(N)} \leq \sigma \leq 1$ , the estimates*

$$\sum_{n=1}^N \frac{d_k(n)^2 |\chi(n)|^2}{n^{2\sigma}} \ll \left(\sigma - \frac{1}{2}\right)^{-k^2} \quad \text{and} \quad \sum_{n=1}^N \frac{d_k(n)^2 |\chi(n)|^2}{n} \ll (\log(N))^{k^2}$$

*are valid.*

*Proof.* Taking  $\sigma = \frac{1}{2} + \frac{c_k}{\log(N)}$ , we obtain that  $n^{-2\sigma} \ll n^{-1}$  when  $1 \leq n \leq N$ . Therefore the second inequality of the lemma follows from the first inequality. At first we notice that the inequality

$$\left( \frac{\Gamma(k+r)}{\Gamma(k)r!} \right)^2 \leq \frac{\Gamma(k^2+r)}{\Gamma(k^2)r!}$$

can be proved by mathematical induction. Therefore  $d_k(n)^2 \leq d_{k^2}(n)$ , and hence it follows that

$$\sum_{n=1}^N \frac{d_k(n)^2 |\chi(n)|^2}{n^{2\sigma}} \leq \sum_{n=1}^N \frac{d_k(n)^2}{n^{2\sigma}} \leq \sum_{n=1}^N \frac{d_{k^2}(n)}{n^{2\sigma}} = \zeta^{k^2}(2\sigma) \ll \left(\sigma - \frac{1}{2}\right)^{-k^2}.$$

LEMMA 2. Let  $f(z)$  be a regular function in the strip  $\alpha < \operatorname{Re}(z) < \beta$  and continuous for  $\alpha \leq \operatorname{Re}(z) \leq \beta$ . Suppose that  $f(z) \rightarrow 0$  when  $|\Im(z)| \rightarrow \infty$  uniformly for  $\alpha \leq \operatorname{Re}(z) \leq \beta$ . Then for  $\alpha \leq \gamma \leq \beta$  and all  $m > 0$

$$\int_{-\infty}^{\infty} |f(\gamma + it)|^m dt \leq \left( \int_{-\infty}^{\infty} |f(\alpha + it)|^m dt \right)^{\frac{\beta-\gamma}{\beta-\alpha}} \left( \int_{-\infty}^{\infty} |f(\beta + it)|^m dt \right)^{\frac{\gamma-\alpha}{\beta-\alpha}}.$$

Proof of the lemma can be found in [2].

Let

$$w(t) = \int_T^{2T} \exp(-2k(t-\tau)^2) d\tau, \quad J(\sigma, \chi) = \int_{-\infty}^{\infty} |L(\sigma + it, \chi)|^{2k} w(t) dt. \quad (1)$$

LEMMA 3. Let  $\frac{1}{2} \leq \sigma \leq \frac{3}{4}$  and  $T \geq 2$ . Then

$$J\left(\frac{1}{2}, \chi\right) \ll T^{k(\sigma-\frac{1}{2})} J(\sigma, \chi) + e^{-\frac{kT^2}{3}}.$$

*Proof.* We take in the Lemma 2  $f(z) = f(z, \chi) = L(z, \chi) \exp((z - i\tau)^2)$ ,  $\gamma = \frac{1}{2}$ ,  $\alpha = 1 - \sigma$ ,  $\beta = \sigma$  where  $\frac{1}{2} \leq \sigma \leq \frac{3}{4}$ ,  $m = 2k > 0$ . Then by the functional equation for  $L$ -functions [3] we obtain that

$$L(\alpha + it, \chi) \ll |L(\beta + it, \chi)| (1 + |t|)^{\sigma - \frac{1}{2}}.$$

From this it follows

$$\int_{-\infty}^{\infty} |f(\alpha + it)|^{2k} dt \ll \tau^{2k} e^{-\frac{k\tau^2}{2}} + \tau^{k(2\sigma-1)} \int_{-\infty}^{\infty} |L(\sigma + it, \chi)|^{2k} e^{-2k(t-\tau)^2} dt.$$

Then from Lemma 2 we have

$$\begin{aligned} & \int_{-\infty}^{\infty} \left| L\left(\frac{1}{2} + it, \chi\right) \right|^{2k} e^{-2k(t-\tau)^2} dt \\ & \ll e^{-\frac{2k\tau^2}{5}} + \tau^{k(\sigma-\frac{1}{2})} \int_{-\infty}^{\infty} |L(\sigma + it, \chi)|^{2k} e^{-2k(t-\tau)^2} dt. \end{aligned}$$

Now the integration over  $[T, 2T]$  completes the proof of the lemma.

LEMMA 4. Let  $\frac{1}{2} \leq \sigma \leq \frac{3}{4}$  and  $T \geq 2$ . Then

$$J(\sigma, \chi) \ll T^{\sigma - \frac{1}{2}} J\left(\frac{1}{2}, \chi\right) + e^{-\frac{kT^2}{4}}.$$

*Proof.* We take in Lemma 2  $f(z) = f(z, \chi) = L(z, \chi) \exp((z - i\tau)^2)$ ,  $\gamma = \sigma$ ,  $\alpha = \frac{1}{2}$ ,  $\beta = \frac{3}{2}$ ,  $m = 2k > 0$ , where  $\frac{1}{2} \leq \sigma \leq \frac{3}{4}$ . Then we obtain

$$\int_{-\infty}^{\infty} \left| f\left(\frac{1}{2} + it\right) \right|^{2k} dt \ll \tau^{2k} \int_{\frac{\tau}{2}}^{\frac{3\tau}{2}} \left| L\left(\frac{1}{2} + it, \chi\right) \right|^{2k} e^{-2k(t-\tau)^2} dt + e^{-\frac{2k\tau^2}{5}}.$$

Similarly we find that

$$\int_{-\infty}^{\infty} \left| f\left(\frac{3}{2} + it\right) \right|^{2k} dt \ll \tau^{2k} \int_{\frac{\tau}{2}}^{\frac{3\tau}{2}} e^{-2k(t-\tau)^2} dt + e^{-\frac{2k\tau^2}{5}} \ll \tau^{2k}.$$

Lemma 2 implies

$$\int_{-\infty}^{\infty} |f(\sigma + it)|^{2k} dt \ll \tau^{2k} \left( \int_{-\infty}^{\infty} \left| L\left(\frac{1}{2} + it, \chi\right) \right|^{2k} e^{-2k(t-\tau)^2} dt \right)^{\frac{3}{2} - \sigma} + e^{-\frac{k\tau^2}{3}}, \quad (2)$$

therefore the estimate

$$\int_{-\infty}^{\infty} \left| L(\sigma + it, \chi) \right|^{2k} e^{-2k(t-\tau)^2} dt \ll \tau^{-2k} \int_{-\infty}^{\infty} |f(\sigma + it)|^{2k} dt + e^{-\frac{2k\tau^2}{5}}$$

follows. Finally, the integrating over  $[T, 2T]$  and combining with estimate (2) give the estimate of the lemma.

Now let  $N = T^{\frac{1}{2}}$ , and define

$$\begin{aligned} S(s, \chi) &= \sum_{n=1}^N \frac{d_k(n) \chi(n)}{n^s}, \\ g(s, \chi) &= L(s, \chi) - S^{\frac{1}{k}}(s, \chi), \\ K(\sigma, \chi) &= \int_{-\infty}^{\infty} |g(\sigma + it, \chi)|^{2k} w(t) dt. \end{aligned} \quad (3)$$

LEMMA 5. Suppose that  $\frac{1}{2} \leq \sigma \leq \frac{3}{4}$ ,  $\varepsilon > 0$  and  $T \geq 2$ . Then

$$K(\sigma, \chi) \ll K\left(\frac{1}{2}, \chi\right)^{\frac{5-4\sigma}{3}} \left(TN^{\frac{\varepsilon-3}{2}}\right)^{\frac{4\sigma-2}{3}} + K\left(\frac{1}{2}, \chi\right)^{\frac{7-8\sigma}{3}} e^{-\frac{4kT^2(2\sigma-1)}{6}}.$$

*Proof.* In Lemma 2 we take  $f(z) = f(z, \chi) = g(z, \chi) \exp((z - i\tau)^2)$ ,  $\gamma = \sigma$ ,  $\alpha = \frac{1}{2}$ ,  $\beta = \frac{7}{8}$  and  $m = 2k = \frac{2}{n}$ . Then we obtain

$$\int_{-\infty}^{\infty} |f(\sigma + it)|^{\frac{2}{n}} dt \leq \left\{ \int_{-\infty}^{\infty} |f\left(\frac{1}{2} + it\right)|^{\frac{2}{n}} dt \right\}^{\frac{7-8\sigma}{3}} \left\{ \int_{-\infty}^{\infty} |f\left(\frac{7}{8} + it\right)|^{\frac{2}{n}} dt \right\}^{\frac{8\sigma-4}{3}}. \quad (4)$$

Now we observe that, for  $\frac{1}{2} \leq \operatorname{Re}(s) \leq 2$ , we have  $S(s, \chi) \ll N \ll T$  and

$$g(s, \chi) \ll (T + |t|)^n,$$

where  $|s - 1| \geq \frac{1}{10}$ . Then

$$\int_{-\infty}^{\infty} |f\left(\frac{7}{8} + it\right)|^{\frac{2}{n}} dt \ll \int_{\frac{\tau}{2}}^{\frac{3\tau}{2}} |f\left(\frac{7}{8} + it\right)|^{\frac{2}{n}} dt + (T + |t|)^{2+2k} e^{-\frac{2k\tau^2}{3}}. \quad (5)$$

The integral in the right-hand side of (5) can be estimated by using Gabriel's theorem [1, Theorem 1]. From (4) and (5) we obtain

$$\begin{aligned} \int_{-\infty}^{\infty} |f(\sigma + it)|^{\frac{2}{n}} dt &\leq \left( \int_{-\infty}^{\infty} |f\left(\frac{1}{2} + it\right)|^{\frac{2}{n}} dt \right)^{\frac{5-4\sigma}{3}} \left( \int_{-\infty}^{\infty} |f\left(\frac{5}{4} + it\right)|^{\frac{2}{n}} dt \right)^{\frac{4\sigma-2}{3}} \\ &+ \left( \int_{-\infty}^{\infty} |f\left(\frac{1}{2} + it\right)|^{\frac{2}{n}} dt \right)^{\frac{7-8\sigma}{3}} (T^{2+2k} e^{-\frac{k\tau^2}{7}})^{\frac{8\sigma-4}{3}}. \end{aligned}$$

Now we integrate over  $T \leq \tau \leq 2T$ , and by using (1) and (3) we deduce

$$K(\sigma, \chi) \ll K\left(\frac{1}{2}, \chi\right)^{\frac{5-4\sigma}{3}} \left( \int_T^{2T} |g\left(\frac{5}{4} + it, \chi\right)|^{2k} dt \right)^{\frac{4\sigma-2}{3}} + K\left(\frac{1}{2}, \chi\right)^{\frac{7-8\sigma}{3}} e^{-\frac{4T^2(2\sigma-1)}{6}}. \quad (6)$$

Next we have that  $g(s, \chi) = \sum_{n=N}^{\infty} \frac{a_n \chi(n)}{n^s}$ , where  $\sigma > 1$  and  $0 \leq a_n \leq d_k(n)$ . Thus, by the Montgomery–Vaughan mean value theorem [3] we find

$$\int_{\frac{T}{2}}^{3T} \left| g\left(\frac{5}{4} + it, \chi\right) \right|^2 dt \ll T \sum_{n=N}^{\infty} \frac{|a_n|^2 |\chi(n)|^2}{n^{\frac{5}{2}}} + \sum_{n=N}^{\infty} \frac{|a_n|^2 |\chi(n)|^2}{n^{\frac{3}{2}}} \ll TN^{\varepsilon - \frac{3}{2}}.$$

From this and (6) the lemma follows.

*Proof of the Theorem 1.* Let, for  $\frac{1}{2} \leq \sigma < \frac{3}{4}$ ,  $Y(\sigma, \chi) = \int_{-\infty}^{\infty} |S(\sigma + it, \chi)|^2 w(t) dt$ . We have  $w(t) \ll \exp\left(-\frac{(T^2+t^2)k}{18}\right)$  for  $t \leq 0$ ,  $t \geq 3T$  and  $S(\sigma + it, \chi) \ll T$ . Furthermore, for  $\frac{4T}{3} \leq t \leq \frac{5T}{3}$ ,  $w(t)$  is bounded. By the Montgomery–Vaughan theorem [3] we find

$$\int_0^{3T} |S(\sigma + it, \chi)|^2 dt \ll T \sum_{n=1}^N \frac{d_k(n)^2 |\chi(n)|^2}{n^{2\sigma}} \ll T \sum_{n=1}^N \frac{d_k(n)^2}{n^{2\sigma}}.$$

Therefore from Lemma 1 it follows

$$Y(\sigma, \chi) \ll T \left( \sigma - \frac{1}{2} \right)^{-k^2},$$

and, for  $\frac{1}{2} \leq \sigma \leq \frac{3}{4}$ ,

$$Y\left(\frac{1}{2}, \chi\right) \ll T(\log T)^{k^2}. \quad (7)$$

We trivially have  $|S(\sigma + it, \chi)|^2 \ll |L(\sigma + it, \chi)|^{\frac{2}{n}} + |g(\sigma + it, \chi)|^{\frac{2}{n}}$ , therefore  $Y(\sigma, \chi) \ll J(\sigma, \chi) + K(\sigma, \chi)$ . Similarly we deduce

$$J(\sigma, \chi) \ll Y(\sigma, \chi) + K(\sigma, \chi) \quad (8)$$

and

$$K\left(\frac{1}{2}, \chi\right) \ll Y\left(\frac{1}{2}, \chi\right) + J\left(\frac{1}{2}, \chi\right).$$

From estimates (7) and (8) we find that

$$J\left(\frac{1}{2}, \chi\right) \ll T(\log T)^{k^2}. \quad (9)$$

For  $K\left(\frac{1}{2}, \chi\right) \geq T$ , we can obtain that  $J\left(\frac{1}{2}, \chi\right) \ll Y(\sigma, \chi) + Y\left(\frac{1}{2}, \chi\right) \ll T(\log T)^{k^2}$ . Since  $w(t) \ll 1$  for all  $t$  and the estimate  $w(t) \ll \exp\left(-\frac{k(t^2+T^2)}{18}\right)$  is valid when  $t \leq 0$  and  $t \geq 3T$ , we deduce that

$$J\left(\frac{1}{2}, \chi\right) \ll I_k\left(\frac{1}{2}, 3T, \chi\right) + \left( \int_{-\infty}^0 + \int_{3T}^{\infty} \right) e^{-\frac{k(t^2+T^2)}{18}} dt \ll I_k\left(\frac{1}{2}, 3T, \chi\right) + e^{-\frac{kT^2}{18}}.$$

Moreover,  $w(t) \gg 1$  if  $\frac{4T}{3} \leq t \leq \frac{5T}{3}$ , therefore from (9) we find that

$$I_k\left(\frac{1}{2}, \frac{5T}{3}, \chi\right) - I_k\left(\frac{1}{2}, \frac{4T}{3}, \chi\right) \ll J\left(\frac{1}{2} + it, \chi\right) \ll T(\log T)^{k^2}.$$

Replacing  $T$  by  $\left(\frac{4}{5}\right)^n T$  and summing over  $n$ , we deduce the estimate of the theorem.

## References

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