

# On the zeros of a new zeta-function

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## 1. Introduction

As usual, let  $s = \sigma + it$  be a complex variable. Further, let  $k$  and  $\ell$  be positive integers such that  $k$  and  $4\ell$  are coprime. We write  $f(x) = O(g(x))$  and  $f(x) \ll g(x)$ , resp., when the estimate  $|f(x)| \leq Cg(x)$  holds for all large  $x$  and some absolute constant  $C$ . Finally, we define by  $r(n)$  the number of representations of a positive integer  $n$  as a sum of two integer squares. Then we consider the following Dirichlet series

$$\mathcal{R}\left(s; \frac{k}{4\ell}\right) = \sum_{n=1}^{\infty} \frac{r(n)}{n^s} \exp\left(2\pi i \frac{nk}{4\ell}\right). \quad (1)$$

The function  $\mathcal{R}\left(s; \frac{k}{4\ell}\right)$  was introduced in [4], where a truncated Voronoï-type formula for the twisted Möbius transform

$$\sum_{n \leq x} r(n) \exp\left(2\pi i \frac{nk}{4\ell}\right)$$

was proved. In this paper we continue to study the properties of the Dirichlet series  $\mathcal{R}\left(s; \frac{k}{4\ell}\right)$  and present some results on the zero distribution.

It is well-known that

$$r(n) = 4 \sum_{d|n} \chi(d),$$

where

$$\chi(d) = \begin{cases} (-1)^{\frac{d-1}{2}} & \text{if } d \equiv 1 \pmod{2}, \\ 0 & \text{if } d \equiv 0 \pmod{2}. \end{cases}$$

$\chi$  is the non-principal character modulo 4 (and thus completely multiplicative). Hence, we obtain

$$r(n) \leq 4d(n) \ll n^\varepsilon, \quad (2)$$

where  $d(n)$  is the divisor function and  $\varepsilon$  denotes an arbitrarily small positive number. Consequently, the series (1) converges absolutely in the half-plane  $\sigma > 1$ . In [4] it was

proved that the function  $\mathcal{R}\left(s; \frac{k}{4\ell}\right)$  has an analytic continuation throughout the complex plane except for a simple pole at  $s = 1$ , and that it satisfies the functional equation

$$\begin{aligned} \mathcal{R}\left(s; \frac{k}{4\ell}\right) &= \frac{\chi(k^*)}{\pi} \left(\frac{\pi}{2\ell}\right)^{2s-1} \Gamma(1-s)^2 \times \\ &\times \left(\mathcal{R}\left(1-s; \frac{k^*}{4\ell}\right) - \cos(\pi s)\mathcal{R}\left(1-s; \frac{-k^*}{4\ell}\right)\right), \end{aligned} \quad (3)$$

where  $k^*$  is given by  $kk^* \equiv 1 \pmod{4\ell}$ . This functional equation is very similar to the one for the Estermann zeta-function, and, as we shall show in the sequel, also its zero distribution is comparable to the one of the Estermann zeta-function (for which we refer to [3]).

## 2. Zero distribution

Denote the zeros of  $\mathcal{R}\left(s; \frac{k}{4\ell}\right)$  by  $\rho = \beta + i\gamma$ . In view of (2) we find for sufficiently large  $\sigma$

$$\begin{aligned} \left|\mathcal{R}\left(s; \frac{k}{4\ell}\right) - 4 \exp\left(2\pi i \frac{k}{4\ell}\right)\right| &\leq \sum_{n=2}^{\infty} \frac{r(n)}{n^\sigma} \leq \sum_{n=2}^{\infty} \frac{4d(n)}{n^\sigma} \ll \int_1^{\infty} x^{\varepsilon-\sigma} dx \\ &< \frac{1}{\sigma - (1 + \varepsilon)}. \end{aligned}$$

Hence, as  $\sigma \rightarrow \infty$ ,

$$\mathcal{R}\left(s; \frac{k}{4\ell}\right) = 4 \exp\left(2\pi i \frac{k}{4\ell}\right) + O\left(\frac{1}{\sigma}\right). \quad (4)$$

Consequently, there exists a positive constant  $C$  such that

$$\mathcal{R}\left(s; \frac{k}{4\ell}\right) \neq 0 \quad \text{for } \sigma > C. \quad (5)$$

Notice that  $C$  can be estimated explicitly by elementary means; for instance, the rather trivial estimate  $d(n) \leq n$  leads to  $C = 3$ . By the functional equation (3) and the non-vanishing of the Gamma-function,  $\mathcal{R}\left(s; \frac{k}{4\ell}\right)$  vanishes if and only if

$$\mathcal{R}\left(1-s; \frac{k^*}{4\ell}\right) = \cos(\pi s)\mathcal{R}\left(1-s; \frac{-k^*}{4\ell}\right).$$

Therefore, with the estimate (4) and the zero-free region (5), it follows that for  $\sigma < 1 - C$  the function  $\mathcal{R}\left(s; \frac{k}{4\ell}\right)$  can only have zeros close to the negative real axis. We call zeros  $\rho$  of  $\mathcal{R}\left(s; \frac{k}{4\ell}\right)$  with  $\beta < 1 - C$  *trivial*. In [4] it was shown that for any positive integer  $n$

$$\mathcal{R}\left(1-n; \frac{k}{4\ell}\right) = 0.$$

We call other zeros of  $E(s; \frac{k}{T}, \alpha)$  *nontrivial*. By the above and the zero-free region (5) the nontrivial zeros lie in the vertical strip

$$1 - C \leq \sigma \leq C. \tag{6}$$

Applying ideas of Littlewood [2] and Levinson and Montgomery [1] we will prove

**Theorem 1.** *Let  $B > C + 1$  be a constant. Then, as  $T \rightarrow \infty$ ,*

$$\sum_{\substack{\beta > -B \\ |\gamma| \leq T}} (B + \beta) = (2B + 1) \frac{T}{\pi} \log \frac{2T\ell}{\pi e} + O(\log T).$$

Denote by  $N(T; \frac{k}{4\ell})$  the number of nontrivial zeros  $\rho$  of  $\mathcal{R}(s; \frac{k}{4\ell})$  with  $|\gamma| \leq T$  (according multiplicities). Using the formula of Theorem 1 with  $B + 1$  instead of  $B$ , we get after subtracting the resulting formula from the one above

**COROLLARY 1.** *As  $T \rightarrow \infty$ ,*

$$N\left(T; \frac{k}{4\ell}\right) = \frac{2T}{\pi} \log \frac{2T\ell}{\pi e} + O(\log T).$$

Note that the main term in the asymptotic formula does not depend on  $k$ .

Multiplying the formula of Corollary 1 with  $B$  and subtracting it from the formula of Theorem 1 gives

**COROLLARY 2.** *We have, as  $T \rightarrow \infty$ ,*

$$\frac{1}{N(T; \frac{k}{4\ell})} \sum_{\substack{\rho \text{ nontrivial} \\ |\gamma| \leq T}} \beta = \frac{1}{2} + O(T^{-1}).$$

One may interpret the last formula in the sense that the mean value of the real parts of the nontrivial zeros of  $\mathcal{R}(s; \frac{k}{4\ell})$  is  $\frac{1}{2}$ .

### 3. Proof of Theorem 1

The proof relies on

**Lemma 4** (Littlewood). *Let  $f(s)$  be regular in and upon the boundary of the rectangle  $\mathcal{R}$  with vertices  $b, b + iT, c + iT, c$ , and not zero on  $\sigma = b$ . Denote by  $\nu(\sigma, T)$  the number of zeros  $\rho = \beta + i\gamma$  of  $f(s)$  inside the rectangle with  $\beta > \sigma$  including those with  $\gamma = T$  but not  $\gamma = 0$ . Then*

$$\int_{\mathcal{R}} \log f(s) ds = -2\pi i \int_b^c \nu(\sigma, T) d\sigma.$$

This is an integrated version of the principle of the argument (the proof can be found in [5], §9.9 or [2]).

Let  $A = C + 2$ . By the condition on  $B$ , all nontrivial zeros of  $\mathcal{R}(s; \frac{k}{4\ell})$  have real parts in  $(-B, A)$ . Denote by  $N(\sigma, T; \frac{k}{\ell}, \alpha)$  the number of nontrivial zeros  $\rho$  of  $\mathcal{R}(s; \frac{k}{4\ell})$  with  $\beta > \sigma$  and  $|\gamma| \leq T$ . Then Littlewood's lemma 4, applied to

$$\mathcal{R}\left(s; \frac{k}{4\ell}\right)(s-1)$$

and the rectangle  $\mathcal{L}$  with vertices  $A \pm iT, -B \pm iT$ , gives us

$$\int_{\mathcal{L}} \log \mathcal{R}\left(s; \frac{k}{4\ell}\right) ds = -2\pi i \int_{-B}^A N\left(\sigma, T; \frac{k}{\ell}, \alpha\right) d\sigma + O(1);$$

here the error term occurs from the removed pole at  $s = 1$ . Therefore,

$$\begin{aligned} & 2\pi \sum_{\substack{\beta > -B \\ |\gamma| \leq T}} (B + \beta) + O(1) \\ &= \int_{-T}^T \log \left| \mathcal{R}\left(-B + it; \frac{k}{4\ell}\right) \right| dt - \int_{-T}^T \log \left| \mathcal{R}\left(A + it; \frac{k}{4\ell}\right) \right| dt \\ &\quad - \int_{-B}^A \arg \mathcal{R}\left(\sigma - iT; \frac{k}{4\ell}\right) d\sigma + \int_{-B}^A \arg \mathcal{R}\left(\sigma + iT; \frac{k}{4\ell}\right) d\sigma \\ &=: \sum_{j=1}^4 I_j. \end{aligned}$$

To define  $\log \mathcal{R}(s; \frac{k}{4\ell})$  we choose the principal branch of the logarithm on the real axis, as  $\sigma \rightarrow \infty$ ; for other points  $s$  the value of the logarithm is obtained by analytic continuation.

By the functional equation (3) we have

$$\begin{aligned} & \log \left| \mathcal{R}\left(-B + it; \frac{k}{4\ell}\right) \right| \\ &= -\log \pi - (2B + 1) \log \frac{\pi}{2\ell} + 2 \log |\Gamma(B + 1 - it)| \\ &\quad + \log \left| \mathcal{R}\left(1 + B - it; \frac{k^*}{4\ell}\right) - \cos(-\pi B + \pi it) \mathcal{R}\left(1 + B - it; \frac{-k^*}{4\ell}\right) \right|. \end{aligned}$$

Using Stirling's formula, we obtain for  $|t| > 1$

$$\log |\Gamma(B + 1 - it)| = \left(\frac{1}{2} + B\right) \log |t| - \frac{\pi}{2}|t| + \frac{1}{2} \log 2\pi + O(|t|^{-1}).$$

Further, by (4) we get for  $|t| > 1$

$$\log \left| \mathcal{R}\left(1 + B - it; \frac{k^*}{4\ell}\right) - \cos(-\pi B + \pi it) \mathcal{R}\left(1 + B - it; \frac{-k^*}{4\ell}\right) \right|$$

$$= \log \left| \mathcal{R} \left( 1 + B - it; \frac{-k^*}{4\ell} \right) \right| + \pi|t| - \log 2 + O(\exp(-\pi|t|)).$$

Collecting together, we obtain

$$\begin{aligned} I_1 &= \int_{-T}^T \left( -\log \pi - (2B + 1) \log \frac{\pi}{2\ell} + 2 \left( \left( \frac{1}{2} + B \right) \log |t| - \frac{\pi}{2}|t| + \frac{1}{2} \log 2\pi \right) \right. \\ &\quad \left. + \log \left| \mathcal{R} \left( 1 + B - it; \frac{-k^*}{4\ell} \right) \right| + \pi|t| - \log 2 + O(|t|^{-1}) \right) dt \\ &= 2T(2B + 1) \log \frac{2\ell}{\pi} + (2B + 1)2T \log \frac{T}{e} \\ &\quad + \int_{-T}^T \log \left| \mathcal{R} \left( 1 + B - it; \frac{-k^*}{4\ell} \right) \right| dt + O(\log T) \\ &= (2B + 1)2T \log \frac{2T\ell}{\pi e} + \int_{-T}^T \log \left| \mathcal{R} \left( 1 + B - it; \frac{-k^*}{4\ell} \right) \right| dt + O(\log T). \end{aligned}$$

The integral above looks similar to  $I_2$ . We estimate them as we do now for  $I_2$ . Note that

$$\frac{1}{4} \exp \left( -2\pi i \frac{k}{4\ell} \right) \mathcal{R} \left( s; \frac{k}{4\ell} \right) = 1 + \frac{1}{4} \sum_{n=2}^{\infty} \frac{r(n)}{n^s} \exp \left( 2\pi i \frac{(n-1)k}{4\ell} \right).$$

This yields

$$-I_2 = \int_{-T}^T \log \left| 1 + \frac{1}{4} \sum_{n=2}^{\infty} \frac{r(n)}{n^{A+it}} \exp \left( \frac{\pi i k}{2\ell} (n-1) \right) \right| dt - 2T \log 4.$$

The absolute value of the sum appearing in the latter formula is less than 1. By the Taylor expansion of the logarithm we may bound the integral by

$$\begin{aligned} &\int_{-T}^T \operatorname{Re} \left( \sum_{j=1}^{\infty} \frac{(-1)^{j-1}}{j} \left( \frac{1}{4} \sum_{n=2}^{\infty} \frac{r(n)}{n^{A+it}} \exp \left( \frac{\pi i k}{2\ell} (n-1) \right) \right)^j \right) dt \\ &= \operatorname{Re} \sum_{j=1}^{\infty} \frac{(-1)^{j-1}}{j} \frac{1}{4} \sum_{n_1=2}^{\infty} \dots \sum_{n_j=2}^{\infty} \frac{r(n_1) \dots r(n_j)}{(n_1 \dots n_j)^A} \times \\ &\quad \times \exp \left( \frac{\pi i k}{2\ell} (n_1 + \dots + n_j - j) \right) \int_{-T}^T \frac{dt}{(n_1 \dots n_j)^{it}} \\ &\ll \sum_{j=1}^{\infty} \frac{1}{j} \sum_{n=2}^{\infty} \left( \frac{r(n)}{4n^A} \right)^j, \end{aligned}$$

and this is bounded. In a similar way we find

$$\int_{-T}^T \log \left| \mathcal{R} \left( 1 + B - it; \frac{-k^*}{4\ell} \right) \right| dt = -2T \log 4 + O(1).$$

Thus we get

$$I_1 + I_2 = 2T(2B + 1) \log \frac{2T\ell}{\pi e} + O(\log T).$$

It remains to estimate the horizontal integrals  $I_3, I_4$ . Suppose that  $\operatorname{Re} \mathcal{R} \left( \sigma + iT; \frac{k}{4\ell} \right)$  has  $N$  zeros for  $-B \leq \sigma \leq A$ . Then divide  $[-B, A]$  into at most  $N + 1$  subintervals in each of which  $\operatorname{Re} \mathcal{R} \left( \sigma + iT; \frac{k}{4\ell} \right)$  is of constant sign. Then

$$\left| \arg \mathcal{R} \left( \sigma + iT; \frac{k}{4\ell} \right) \right| \leq (N + 1)\pi. \quad (7)$$

To estimate  $N$  let

$$g(z) = \frac{1}{2} \left( \mathcal{R} \left( z + iT; \frac{k}{4\ell} \right) + \overline{\mathcal{R} \left( \bar{z} + iT; \frac{-k}{4\ell} \right)} \right).$$

Then we have  $g(\sigma) = \operatorname{Re} \mathcal{R} \left( \sigma + iT; \frac{k}{4\ell} \right)$ . Let  $R = A + B$  and choose  $T$  so large that  $T > 2R$ . Now,  $\operatorname{Im} (z + iT) > 0$  for  $|z - A| < T$ . Thus  $\mathcal{R} \left( z + iT; \frac{k}{4\ell} \right)$ , and hence  $g(z)$ , is analytic for  $|z - A| < T$ . Let  $n(r)$  denote the number of zeros of  $g(z)$  in  $|z - A| \leq r$ . Obviously, we have

$$\int_0^{2R} \frac{n(r)}{r} dr \geq n(R) \int_R^{2R} \frac{dr}{r} = n(R) \log 2.$$

With Jensen's formula (see [5], §3.61),

$$\int_0^{2R} \frac{n(r)}{r} dr = \frac{1}{2\pi} \int_0^{2\pi} \log |g(A + 2Re^{i\theta})| d\theta - \log |g(A)|,$$

we deduce

$$n(R) \leq \frac{1}{2\pi \log 2} \int_0^{2\pi} \log |g(A + 2Re^{i\theta})| d\theta - \frac{\log |g(A)|}{\log 2}.$$

By (4) it follows that  $\log |g(A)|$  is bounded. To bound the integrand above, note that we have by Stirling's formula, the functional equation (3) and (4)

$$\mathcal{R} \left( s; \frac{k}{4\ell} \right) \ll |t|^{1-2\sigma}$$

for  $\sigma < 0$ , where the implicit constant depends only on  $l$ . Using the Phragmén-Lindelöf principle (see [5], §5.65), we get in any vertical strip of bounded width

$$\mathcal{R} \left( s; \frac{k}{4\ell} \right) \ll |t|^c$$

with a certain positive constant  $c$ . Obviously, the same estimate holds for  $g(z)$ . Thus, the integral above is  $\ll \log T$ , and  $n(R) \ll \log T$ . Since the interval  $(-B, A)$  is contained in the disc  $|z - A| \leq R$ , we have  $N \leq n(R)$ . Therefore, with (7), we get

$$|I_4| \leq \int_{-B}^A \left| \arg \mathcal{R} \left( \sigma + iT; \frac{k}{4\ell} \right) \right| d\sigma \ll \log T.$$

Obviously,  $I_3$  can be bounded in the same way. Thus Theorem 1 is proved.

## References

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## Apie naujos dzeta funkcijos nulius

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Straipsnyje nagrinėjama nauja dzeta funkcija  $R(s; \frac{k}{4\ell}) = \sum_{n=1}^{\infty} \frac{r(n)}{n^s} \exp\left(2\pi i n \frac{k}{4\ell}\right)$ , kur  $k$  ir  $\ell$  tokie teigiami sveikieji skaičiai, kad  $k$  ir  $4\ell$  yra tarpusavyje pirminiai,  $r(n)$  žymi skaičių būdų, kuriais teigiamą sveiką skaičių  $n$  galima išreikšti dviejų sveikųjų skaičių kvadratų suma, ir įrodoma šios funkcijos netrivialiųjų nulių skaičiaus asimptotinė formulė.